

Selected Investment Funds (SIF) Stocks and Shares ISA Transfer Form

Notes on completing this form

- This application form should be used for transferring existing cash ISAs and Stocks and Shares ISAs to the Selected Investment Funds Stocks and Shares ISA.
- Please send completed applications to: HSBC Trust Company (UK) Limited, Frobisher House, Nelson Gate, Southampton SO15 9DF.
- If you have any queries about completing this form, please call us on 08456 076 180; (textphone 08457 660 391). Lines are open 8am to 6pm (some options 9am - 5pm) Monday to Friday (excluding public holidays). To help us continually improve our service and in the interests of security, we may monitor and/or record your communications with us. Throughout this document you will see this symbol ☎ when you can telephone us. Please refer back to these numbers.
- If you have not previously provided evidence of identity and address to HSBC Trust Company (UK) Limited or HSBC Bank plc, you and any other investors will be required to provide evidence of identity and/or address before we can process your application. You can take this form to your local HSBC Branch, together with one form of identification and one form of address verification.
- If you are an existing account holder, and have previously provided evidence of identity and address to HSBC Trust Company (UK) Limited or HSBC Bank plc, you and any other investors may still be required to provide additional evidence of identity and/or address before we can process your application. We will contact you if this is required.
- In the case of a stocks and shares ISA your existing investment will be sold and the proceeds will be used to purchase shares in the Selected Investment Funds Stocks and Shares ISA.
- Please complete a new Transfer Authority form, attached, for each ISA you are transferring to us.

1. Personal details

🔒 Please refer to the 'Your Information' clause in the Selected Investment Funds Terms and Conditions. This explains how we will use your information.

Gender Male Female

Title Mr Mrs Miss Ms Other (please specify)

Surname

First name(s)

Permanent residential address

Postcode

Telephone (daytime) Telephone (evening)

Date of Birth

Do you have a National Insurance (N.I.) Number? Yes No
(If you have a temporary N.I. Number you should tick 'No'.)

N.I. Number

You should be able to find your N.I. Number on a payslip, P45 or P60, a letter from HM Revenue & Customs, a letter from the Department for Work and Pensions, or a pension order book.

Please provide your existing SIF Plan and/or SIF ISA account number, if applicable. *

Are you: a current HSBC employee/a spouse to an employee/a widow(er) of an employee/retired from HSBC? (please tick)

Are you permanently resident outside the UK? Yes No

If yes, you may need to complete a Non Resident Regulatory Details Form, which is available from your local HSBC Bank branch. Alternatively you can call us ☎ to request a copy of the form.

2. Your existing ISA(s)

I apply to transfer my existing ISA(s) as detailed below, to a Selected Investment Funds ISA offered by HSBC Trust Company (UK) Limited:

Existing account manager*:	<input type="text"/>
Account 1	
Existing account number:	<input type="text"/>
Account 2	
Existing account number:	<input type="text"/>
Account 3	
Existing account number:	<input type="text"/>
Account 4	
Existing account number:	<input type="text"/>

*If you wish to transfer an ISA from more than one existing account manager to us, or you are transferring more than four accounts held by the same ISA manager, you will need to complete a photocopy of this section of this ISA Transfer Application Form for each additional manager.

3. Fund Choice

Before supplying details of the fund(s) you require please refer to the Fund Insert for the list of funds available.

The Key Investor Information Document (KIID) is being introduced for all SIF funds by 1 July 2012. We strongly recommend that you read the KIID provided by the fund management company, once available, for your chosen fund(s) before investing. To find out if a KIID is available for your fund(s) please call us. If a KIID is available we will provide a copy to you. Further information about KIIDs is available in the SIF Key Features Document.

*The KIIDs are provided for your information by the fund management company administering the fund. HSBC Trust Company (UK) Limited is not responsible for the reliability or accuracy of the data provided by third parties.

- The minimum investment amount is £1,000 per fund for lump sums.
- Please indicate what you would like us to do with any income and any interest from your investment. It can be reinvested to maximize any growth or paid out to your chosen bank account. Please note that not all funds allow income to be paid out – refer to the Fund Insert for further information. Where income (including interest) is reinvested we will purchase accumulation shares (if available) which means any income (including interest) will be paid in to the fund and reflected in the fund price rather than used to purchase additional shares.

Fund selection		What % of transfer proceeds would you like to invest in this fund? ¹	Income ² (Please tick)	
Name of Company (eg, HSBC)	Name of Fund (eg, World Selection Balanced Portfolio)		Reinvested	Paid Out
		%		
		%		
		%		
		%		
		%		
		%		
		%		
		%		
		%		
		%		
		%		
		%		
		%		
		%		
Total		100%		

1 You must insert whole numbers when completing this box and ensure the percentages add up to 100%.

2 If you choose to have income paid out please complete the income instruction in Section 4 and select one of the following payment options. If you do not tick any of the boxes we will pay income immediately (ie, within four Business Days of it being paid into your SIF Account):

Immediately
 Quarterly
 Bi-annually
 Annually

5. ISA Transfer Authority

If you require the transfer of more than one ISA please complete one authority for each account you are transferring and attach the additional authorities to this form. Photocopies of the authority are acceptable, however each must bear an original signature.

To be signed and dated in all cases. Please do not detach.

Name and address of your current ISA Manager

ISA Manager	<input type="text"/>		
Address	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>	Postcode	<input type="text"/>
ISA Account Number	<input type="text"/>		

Name and address of applicant

Your Name	<input type="text"/>		
Address	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>	Postcode	<input type="text"/>

If requesting a partial transfer please check with your existing ISA Manager that this is possible.

Type of ISA to be transferred (please tick) Cash Stocks and Shares

a) Current Tax Year subscriptions to be transferred?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If yes, all current Tax Year subscriptions must be transferred. Please enter the actual or an estimated transfer value:	<input type="text"/>	

b) All previous Tax Year subscription(s) to be transferred?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Please indicate the estimated total value of previous Tax Year subscriptions being transferred in the box provided.	<input type="text"/>	

Additionally you may use the box below to give further instructions to your current ISA manager with regard to what specific amount or Tax Year(s) should be transferred and/or what investments should be realised to meet the transfer.

c) Total estimated transfer value of a) and b) above	<input type="text"/>
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If transferring a cash ISA

I authorise my existing manager as specified above to transfer the ISA specified above to HSBC Trust Company (UK) Limited. I authorise my existing ISA manager to provide HSBC Trust Company (UK) Limited with any information, written or non-written, concerning the cash ISA and to accept any instruction from them relating to the cash ISA being transferred.

If transferring a stocks and shares ISA

I authorise my existing ISA manager as specified above to transfer the ISA specified above to HSBC Trust Company (UK) Limited. I authorise you to sell the appropriate investments held in my account. I authorise my existing ISA manager to provide HSBC Trust Company (UK) Limited with any information, written or non-written, concerning the stocks and shares ISA and to accept any instruction from them relating to the stocks and shares ISA being transferred.

For both cash ISAs and stocks and shares ISAs

Where a period of notice is required for closure / part transfer of the existing ISA, I give my consent to either:
(tick as appropriate)

1. Serve the full notice period before this instruction can be processed

OR

2. Proceed immediately with the transfer and bearing any consequential penalty which may be applied.

Authority

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Transfer acceptance (to be completed by new ISA manager)

In circumstances where the current Tax Year subscriptions being transferred exceed

£

please notify me as I may not be able to accept the transfer.

Otherwise I (HSBC Trust Company (UK) Limited) am willing to accept this investor's ISA funds, subject to H M Revenue & Customs rules (the ISA Regulations). I deem the date shown below to be the transfer date of this ISA.

Date

D	D	M	M	Y	Y	Y	Y
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Telephone

Name

Address

Postcode

7. Bank Use Only

Investments Submission Folder and Introduction Certificate attached if via Financial Planning Adviser

Please stamp with branch 'date received' stamp and sign to evidence that application has been accepted (ISA investments only)

Signature

Name

Bank/Branch stamp only

8. Customer Check List

Please ensure you do the following:

Complete and sign your ISA Transfer Form

Select whether you want income (including any interest) paid out or reinvested. Where you have selected Income to be paid out, select a payment frequency and complete the account details in Section 4.

Sign and date the declaration in Section 6

You have received and read the Key Investor Information Document* (once available)

*The KIIDs are provided for your information by the fund management company administering the fund. HSBC Trust Company (UK) Limited is not responsible for the reliability or accuracy of the data provided by third parties.

Complete and sign the Transfer Authority (one for each ISA you transfer to us)

Have you provided at least one form of identification and one proof of address if required? (see notes on page 1).

Acceptable forms of identification include a current and valid passport or a current and valid driving licence.

Acceptable forms of address verification include a bank or credit card statement or a utility bill. These documents must be dated within the last six months.

If you have any further queries relating to identity and verification please do not hesitate to contact us ☎.

Return your transfer application form to your Financial Planning Adviser at your local HSBC Bank Branch or send direct to: HSBC Trust Company (UK) Limited, Frobisher House, Nelson Gate, Southampton SO15 9DF

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hsbc.co.uk

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